

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10826674
Filing Date	2004-04-16
First Named Inventor	Yu Chen
Art Unit	2661
Examiner Name	
Attorney Docket Number	MS1-1961US

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number		10828574
Filing Date		2004-04-16
First Named Inventor	Yu Chen	
Art Unit	2651	
Examiner Name		
Attorney Docket Number	MS1-1961US	

1	Dabeek, et al., "Building Peer-To-Peer Systems With Chord, a Distributed Lookup Service", Hot Topics in Operating Systems, 2001, Proceedings of the 8th Workshop on 20-22 May 2001, Piscataway, NJ, USA, IEEE, 05/20/01, pp. 81-85.	<input type="checkbox"/>
2	Misra, et al., "CLASH: A protocol for internet-scale utility-oriented distributed computing", Proceedings 24th International Conference on Distributed Computing Systems (ICDS'04), IEEE, 24 March 2004, 9 pages.	<input type="checkbox"/>
3	Ratnasamy, et al., "A Scalable Content-Addressable Network", Computer Communication Review, ACM, New York, NY, US, Vol. 31, No. 4, October 2001, pages 161-172.	<input type="checkbox"/>
4	Zheng, et al., "SOMO: Self-Organized Metadata Overlay of Resource Management in P2P DHT", Springer Verlag, Grin, (online), Vol. 2735, 2003, pp. 172-180.	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10826674
Filing Date	2004-04-16
First Named Inventor	Yu Chen
Art Unit	2651
Examiner Name	
Attorney Docket Number	MS1-1961US

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	<i>Keith W. Saunders</i>	Date (YYYY-MM-DD)	5/11/2006
Name/Print	Keith W. Saunders	Registration Number	41462

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.10/826,674
Filing Date4/16/2004
Confirmation No.9579
Inventorship Yu Chen
Applicant Microsoft Corporation
Group Art Unit2661
Attorney's Docket No. MS1-1961US
Title: Data Overlay Self-Organized Metadata Overlay and Application Level
Multicasting

To: Commissioner of Patents and Trademarks
PO Box 1450
Alexandria, VA 22313-1450

From Keith W. Saunders (Tel. 509-324-9256; Fax 509-323-8979)
Customer Number : 22801
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

Fees will be paid by credit card through the EFS Web; however the
Commissioner is hereby authorized to charge any deficiency of fees and credit any
overpayments to Deposit Account Number 12-0769.

Respectfully Submitted,

Dated: 5/1/06

By: Keith W. Saunders

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